

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 04581/LH U.S. APPLICATION NO. If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">107510432</div>
INTERNATIONAL APPLICATION NO. PCT/JP03/03048	INTERNATIONAL FILING DATE 14 MARCH 2003	PRIORITY DATE CLAIMED 16 APRIL 2002
TITLE OF INVENTION APPARATUS FOR SURFACE TREATMENT OF METALLIC SHEET, METHOD FOR PRODUCING METALLIC SHEET, AND APPARATUS FOR PRODUCING METALLIC		
APPLICANT(S) FOR DO/EO/US Yukio KIMURA; Masayasu UENO and Yasuhiro SODANI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information:		

Int. Search Report; SB/08A; Change of Correspondence
 Address; Request for Publ. of Assignment Information; 1st
 page Int. appln; form PCT/IB/308; PCT/IB/304; PCT/IB/306;
 PCT/IB/301; 17 sheets formal drawings (Figs. 1A-22).

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I hereby certify that this paper is being deposited with the United States Postal Service
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Barbara Villani
 Barbara Villani

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/510432</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold;">PCT/JP03/03048</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold;">04581/LH</div>	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1100.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> CALCULATIONS PTO USE ONLY </div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ 950.00 </div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$.00 </div>	
Total claims	9 - 20 =	0	X \$18.00	<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$.00 </div>	
Independent claims	1 - 3 =	0	X \$88.00	<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$.00 </div>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$.00 </div>	
TOTAL OF ABOVE CALCULATIONS =				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ 950.00 </div>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$.00 </div>	
SUBTOTAL =				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ 950.00 </div>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$.00 </div>	
TOTAL NATIONAL FEE =				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ 950.00 </div>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ 40.00 </div>	
TOTAL FEES ENCLOSED =				<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ 990.00 </div>	
				Amount to be refunded:	\$.00
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a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 60%;"> <div style="font-size: 1.5em; font-weight: bold; margin-bottom: 10px;">CUSTOMER NO. 01933</div> Leonard Holtz Frishauf, Holtz, Goodman & Chick P.C. 767 Third Avenue 25th Floor New York NY 10017-2023 LH:bv </div> <div style="width: 35%; text-align: center;"> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> </div> <div style="font-weight: bold; margin-bottom: 5px;">Leonard Holtz</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">NAME</div> <div style="font-weight: bold; margin-bottom: 5px;">22,974</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">REGISTRATION NUMBER</div> </div> </div>					

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	Filing Date	Herewith
	First Named Inventor	KIMURA
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	04581/LH

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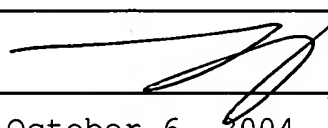
☐ Applicant/Inventor.

☐ Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ Attorney or Agent of record. **Registration No. 22,974**

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.

Typed or Printed Name **Leonard Holtz, Reg. No. 22,974**

Signature 

Date **October 6, 2004** Telephone: **(212) 319-4900**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing and submitting the completed application to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/510432
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**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Date of Deposit: October 6, 2004

Applicant(s): Y. KIMURA et al

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Serial No. : Based on
PCT/JP03/03048

Filed : Herewith


Barbara Villani

For : APPARATUS FOR SURFACE
TREATMENT OF METALLIC
SHEET, METHOD FOR
PRODUCING METALLIC
SHEET AND APPARATUS
FOR PRODUCING METALLIC
SHEET

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

Art Unit :
Examiner :

**REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION**

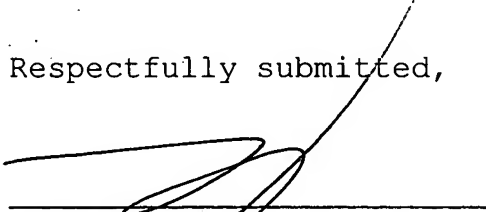
Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be published as part of the 18 month publication of the present application:

**JFE Steel Corporation
2-3 Uchisaiwai-cho 2-chome,
Chiyoda-ku, Tokyo 100-0011 JAPAN**

Respectfully submitted,


LEONARD HOLTZ
Reg. No. 22,974

Frishauf, Holtz, Goodman & Chick, P.C.
767 Third Avenue - 25th Floor
New York, New York 10017-2023
(212) 319-4900
Fax No. (212) 319-5101
LH/bv